



Regional Centre for Peace and Disarmament
in Asia and the Pacific

Strengthening National and Sub-Regional Capacities

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Enhancing International and Regional Cooperation to Prevent, Combat and
Eradicate Illicit Brokering in Small Arms & Light Weapons in South And Central Asia

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Strengthening National/Sub-regional Capacities

Capacity Building (UNDP)

- creation of an enabling environment with appropriate policy and legal frameworks, institutional development, including community participation, human resources development and strengthening of managerial systems
- It is a long-term, continuing process, in which all stakeholders participate (ministries, local authorities, non-governmental organizations professional associations, academics and others).

Strengthening National/Sub-regional Capacities

Capacity Building includes:

- **Human resource development**, process of equipping individuals with the understanding, skills and access to information, knowledge and training that enables them to perform effectively.
- **Organizational development**, the elaboration of management structures, processes and procedures, not only within organizations but also the management of relationships between the different organizations and sectors (public, private and community).
- **Institutional and legal framework development**, making legal and regulatory changes to enable organizations, institutions and agencies at all levels and in all sectors to enhance their capacities

Strengthening National/Sub-regional Capacities

Further steps to enhance international cooperation to combat illicit brokering

- The **establishment of national laws and regulations** where they do not exist
- The **improvement of existing national laws and regulations**
- Increased **enforcement** efforts
- Enhanced regional and international **cooperation**

Strengthening National/Sub-regional Capacities

Area of possible assistance

- To develop adequate national **legislation or administrative procedures** regulating the activities of those who engage in small arms and light weapons brokering (optional elements for national legislation)
- Improvement of existing national laws and regulations
- To strengthen national **licensing** control system
- To enhance the capacity of **law enforcement** agencies
- Put in place measures aimed at preventing the forgery and misuse of **end-user certificates** or other relevant documents
- To capacitate whom: licensing authorities, law enforcement authorities, border control units and the public in general

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Ways and means to promote assistance effectively

States requesting assistance:

- A thorough assessment of their needs
- Reporting
 - Promoting effective reporting on national measures
 - Specific section dedicated to Brokering
 - Information sharing among national authorities
 - Sub-regional awareness raising/Confidence-building
- National action plan on small arms and light weapons
- National commission/ point of contact on brokering in small arms and light weapons
- Formulation of concrete projects

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Ways and means to promote assistance effectively

Assisting Member States (1)

➤ Training & Enforcement

- To assist in the formulation of national action plans including on brokering controls and related concrete project proposal
- To strengthen national experts and relevant law enforcement officials through organizing training courses and workshops

➤ Cooperation

- with a view to facilitating national decision-making and national cross-checking procedures
- Cooperation in law enforcement efforts to investigate illicit brokering activities
- Engage in bilateral or multilateral cooperation pertaining to the investigation and prosecution of an individual or a legal entity involved in illicit brokering
- Cooperation in law enforcement and to conclude bilateral mutual arrangements for the sharing of evidentiary information on illicit brokering

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Ways and means to promote assistance effectively

Assisting Member States (2)

➤ Sub-regional support

- Technical, legal, financial and other support to interested States to reinforce measures to prevent, combat and eradicate the illicit brokering in SALW
- Joint projects for increasing the capacity of relevant authorities, including licensing authorities, law enforcement agencies and border control units

➤ Strengthening capacity-building in operationalizing cooperation with INTERPOL and WCO

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Best practice

- Member States of the Bay of Bengal Initiative for Multi-Sectoral Technical and Economic Cooperation
- Bilateral agreements and mechanism: India,...
- Japan: training and capacity-building projects including assistance to Afghanistan and Sri Lanka
- Australia: assistance for reform and capacity-building for police and the judicial and penal systems

Strengthening National/Sub-regional Capacities

Role of the United Nations

- To facilitate that assistance is provided in a coordinated and synergistic manner
- To match needs with the available resources: “one stop shop”
 - ODA PoA Implementation Support System
 - UNIDIR data base for matching needs and resources

Role of the UNRCPD

- To serve as a hub of knowledge transfer from sub-region and sub-region



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